Date:_____

CLEARPATH WEALTH MANAGEMENT

Personal Information

	Self	Spouse
First Name		
Last Name		
SIN		
Birthday		
Employer		
Work Phone		
Work Fax		
Email		
Drivers License		
Address		
Home Phone	Home	Fax
Date married, divorced, wid	lowed?	
Children's Names	Birthda	te SIN
Other persons for whom yo	u provide support	
Accountant		
Lawyer		

Attitudes/Concerns

1. What is your most important financial concern at this time?

2. What other financial priorities do you have? (Cash Management, Income tax Planning, Investment Planning, Retirement Planning, Risk Management/Insurance, Estate Planning, Funding Educational Costs, Other Capital Needs)

3. How do you feel about the growth in your net worth, so far?

4. What does financial independence mean to you?

5. At what age would you like to achieve financial independence? (Date of Retirement)

6. What obstacles do you feel stand in the way of achieving financial independence?

7. How do you expect to benefit from financial planning?

8. What investment strategies or products are you currently using?

9. Are you satisfied with the results of your current investment strategy?

10. How much of a risk-taker are you? Low Med High

11. Are there any areas of your investment affairs that are of particular concern to you at this time?

12. What do you consider to be an acceptable AFTER-TAX rate of return on your investments?

 Now_____%
 In Future____%

13. How often do you expect to meet face to face with your Financial Advisor, to discuss your portfolio in a 12 month period? 0 1 2 3 4 5+

14. Would you prefer some of the above meetings to be handled by telephone? If yes, how many?

Income Replacement

Are you concerned with the inconvenience of a disability or the catastrophe that a disability could cause?_____

How do you feel about your present disability program?

Life Insurance/Estate Planning:

Do you have a will? _	
Last Time Updated?	
Life Insurance Policie	s?

Education Funding:

How are you going to fund your child's/children's education?

Financial Interests

- **D** Estate Planning
- Life and Disability Insurance Strategies
- Mortgage Services
- Multi-Generational Financial Planning
- □ Registered Education Savings Plans (RESP'S)
- □ Retirement Planning Strategies
- **D** Tax Planning Strategies

REVENUES:	Mortgage Payment:	
ASSETS:	LIABILITIES:	
Liquid Assets Bank Accounts:	Credit Cards	
Retirement Assets RRSP's:	Personal Loans:	
TFSA's:		
RESP's: Personal Assets	Investment Loan:	
Principal Residence Other Real Estate Vehicles	Mortgage(s):	
Other Personal Assets		
Business Assets	Other Debt(s):	

Other Information to provide:

- Copy of last personal tax return and Notice of Assessment (NOA)
- Copy of latest investment statements, this includes RRSP, RESP, Investment Account, etc.
- Copy of summary page of any life insurance, disability insurance, critical illness insurance, etc.
- Copy of latest Pension Statement(s)